SEC Form	4
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

3235-0287

OMB Number:

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
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Sectio obligat	this box if no lo n 16. Form 4 or tions may conti tion 1(b).		STA		d pursuar	t to Section 16 tion 30(h) of the	— — — (a) o	of the Se	curitie	es Exchan	ige A	ct of 193		ΗP	Estim	Number ated av	erage burde	3235-0287 n 0.5		
1. Name and Address of Reporting Person [*] <u>Collins John Matthew</u>					r Name and Tic IX, INC [(ing Sy	rmbol		lationship of ck all applica Director	able)	g Perso	10% Ov							
(Last) (First) (Middle) C/O CALIX, INC.						3. Date of Earliest Transaction (Month/Day/Year) 02/08/2024									give title	rcial (Other (below) Ops Office			
2777 ORCHARD PARKWAY					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Ind Line)	- /						
(Street) SAN JO	SE C	A	95134													•	One Repo			
(City)	2)	State)	(Zip)		Che	10b5-1(c) eck this box to ind affirmative defense	dicate	e that a t	ransad	tion was m	ade p	oursuant to		, instruction c	or written pl	an that	is intended t	o satisfy		
Table I - Non-Deriv 1. Title of Security (Instr. 3) 2. Tran: Date (Month)						2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr.		4. Securi	ties A	cquired	-	or 5. Amoun		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	Code V			(A) or (D) Pr		Transactio (Instr. 3 au	on(s) nd 4)			(1150.4)		
						curities Acc Ils, warrant								wned						
1. Title of Derivative Security (Instr. 3) 2. 3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Date, (Month/Day/Year) 1. Title of Derivative Security 2. 3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Date, if any (Month/Day/Year)		Co	nsaction de (Instr.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)				7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5) Benefici Owned Followin Reported		re es ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownershi (Instr. 4)				

						_						Transaction(s)		
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Stock Option (right to buy)	\$ 51.55	02/08/2024	Α		109,000		02/08/2024 ⁽¹⁾	02/09/2033	Common Stock	109,000	\$0	109,000	D	

Explanation of Responses:

1. On February 9, 2023, the reporting person was awarded a performance-based nonqualified stock option grant covering 200,000 shares of common stock. On February 8, 2024, the Compensation Committee of Calix, Inc. determined that the performance criteria governing 54.5% of the grant had been achieved, resulting in a nonqualified stock option award of 109,000 shares of common stock for the reporting person. The nonqualified stock option award shall vest: (i) as to 25% of the shares of common stock subject to the stock option award, quarterly in equal installments over 36 months from February 8, 2024.

Remarks:

/s/ Tom Gemetti as Attorney-in-02/12/2024

Fact for John Matthew Collins ** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.